

41491

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Tai-He XAI et al.

Serial No.: 09/886,041

Filed: 22 June 2001

For: A NOVEL G PROTEIN-COUPLED RECEPTOR,  
GAVE 3

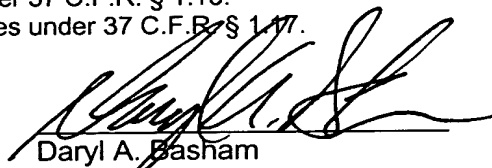
Attention: Box Missing Parts

COMPLETION OF APPLICATION UNDER 37 C.F.R. § 1.53ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

In response to the Communication dated 19 July 2001, copy attached, submitted herewith are:

- ☒ An executed Declaration and Power of Attorney.
- ☒ Filing fee, \$\_\_\_\_\_, surcharge \$130.00, and assignment recording fee \$ 40.00.
- ☒ An assignment of the invention to Aventis Pharmaceuticals, Inc.
- ☐ Verified statement(s) to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27.
- ☐ An Information Disclosure Statement.
- ☐ Please charge Deposit Account No. 18-2220 in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is attached.
- ☒ A check in the amount of \$170.00 is attached.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 18-2220. A duplicate copy of this sheet is attached.
  - ☒ Any additional patent application filing fees under 37 C.F.R. § 1.16.
  - ☒ Any additional patent application processing fees under 37 C.F.R. § 1.17.



Daryl A. Basham  
Reg. No. 45,869

Roylance, Abrams, Berdo & Goodman, L.L.P.  
1300 19<sup>th</sup> Street, N.W., Suite 600  
Washington, D.C. 20036  
(202) 659-9076

Dated: 19 October 2001

41491

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Tai-He XAI et al.

Serial No.: 09/886,041

Filed: 22 June 2001

For: A NOVEL G PROTEIN-COUPLED  
RECEPTOR, GAVE 3



Group Art Unit: 1645

Examiner: TBA

SUBMISSION OF SEQUENCE LISTING

**BOX SEQUENCE**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notification of Missing Requirements, copy attached hereto, submitted herewith is a CRF of the Sequence Listing, a written copy of the Sequence Listing as well as the requisite Statement.

Respectfully submitted,

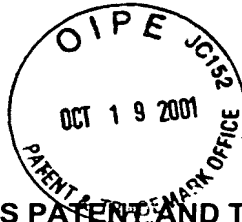
A handwritten signature in black ink, appearing to read "Daryl A. Basham".

Daryl A. Basham  
Reg. No. 45,869

Roylance, Abrams, Berdo  
& Goodman, L.L.P.  
1300 19<sup>th</sup> Street, N.W., Suite 600  
Washington, D.C. 20036-2680  
(202) 659-9076

Dated: 19 October 2001

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PATENT

Pro Seq / Sector

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Tai-He XAI et al.

Serial No.: 09/886,041

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RECEPTOR, GAVE 3

Group Art Unit: 1645

Examiner: TBA

FEE TRANSMITTALAssistant Commissioner for Patents  
Washington, D.C. 202311. BASIC FILING FEE

Fee Description	Large Entity	Small Entity
Utility Filing Fee	<input type="checkbox"/> \$710.00	<input type="checkbox"/> \$355.00
Design Filing Fee	<input type="checkbox"/> \$320.00	<input type="checkbox"/> \$160.00
Plant Filing Fee	<input type="checkbox"/> \$490.00	<input type="checkbox"/> \$245.00
Reissue Filing Fee	<input type="checkbox"/> \$710.00	<input type="checkbox"/> \$355.00
Provisional Filing Fee	<input type="checkbox"/> \$150.00	<input type="checkbox"/> \$ 75.00
<b>SUBTOTAL</b>	<b>\$</b>	<b>\$</b>

2. EXTRA CLAIM FEES

TOTAL CLAIMS	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	<u>SMALL ENTITY</u>		<u>OTHER THAN A SMALL ENTITY</u>	
			RATE	ADDIT. FEE	RATE	ADDIT. FEE
TOTAL	-	=	x 9 =	\$	x 18 =	\$
INDEP	-	=	x 40 =	\$	x 80 =	\$
<input type="checkbox"/> FIRST PRESENTATION OF MULT. DEP. CLAIM			+ 135 =	\$	+ 270 =	\$
If the difference in Col. 1 is less than zero, enter "0" in Col. 2			TOTAL	\$	TOTAL	\$
<b>SUBTOTAL</b>			<b>\$</b>		<b>\$</b>	

3. EXTENSION OF TIME

Fee Description	Large Entity	Small Entity
<input type="checkbox"/> Is Not Required		
<input checked="" type="checkbox"/> One-Month Extension	<input checked="" type="checkbox"/> \$ 110.00	<input type="checkbox"/> \$ 55.00
<input type="checkbox"/> Two-Month Extension	<input type="checkbox"/> \$ 390.00	<input type="checkbox"/> \$195.00
<input type="checkbox"/> Three-Month Extension	<input type="checkbox"/> \$ 890.00	<input type="checkbox"/> \$445.00
<input type="checkbox"/> Four-Month Extension	<input type="checkbox"/> \$1,390.00	<input type="checkbox"/> \$695.00
<input type="checkbox"/> Five-Month Extension	<input type="checkbox"/> \$1,890.00	<input type="checkbox"/> \$945.00
<b>SUBTOTAL</b>	<b>\$110.00</b>	<b>\$</b>

#### 4. ADDITIONAL FEES

Fee Description	Large Entity	Small Entity
<input type="checkbox"/> Notice of Appeal	<input type="checkbox"/> \$ 310.00	<input type="checkbox"/> \$155.00
<input type="checkbox"/> Utility Issue Fee (or Reissue)	<input type="checkbox"/> \$1,240.00	<input type="checkbox"/> \$620.00
<input type="checkbox"/> Petitions to the Commissioner	<input type="checkbox"/> \$ 130.00	<input type="checkbox"/> \$130.00
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> \$ 180.00	<input type="checkbox"/> \$180.00
<input type="checkbox"/> Request for Continued Examination	<input type="checkbox"/> \$ 710.00	<input type="checkbox"/> \$355.00
<input type="checkbox"/> Other	<input type="checkbox"/> \$	<input type="checkbox"/> \$
<b>SUBTOTAL</b>	<b>\$ <u>110.00</u></b>	<b>\$</b>
<b>TOTAL FEES DUE</b>	<b>\$ <u>110.00</u></b>	<b>\$</b>

#### 5. METHOD OF PAYMENT

Payment Enclosed:

- ☒ Check  
☐ Money Order  
☐ Credit Card  
☐ Other  
☐ Deposit Account No. 18-2220.

- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 18-2220. A duplicate copy of this sheet is attached.

- ☒ Any additional excess claim fees under 37 C.F.R. § 1.16.  
☒ Any additional patent application processing fees under 37 C.F.R. § 1.17.

- ☐ Applicant claims small entity status.  
 (See 37 CFR 1.27)

Respectfully submitted,

  
 Daryl A. Basham  
 Reg. No. 45,869

Roylance, Abrams, Berdo & Goodman, L.L.P.  
 1300 19<sup>th</sup> Street, N.W., Suite 600  
 Washington, D.C. 20036  
 (202) 659-9076

Dated: 19 October 2001

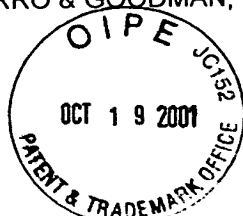


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/886,041	06/22/2001	Tai-He Xia	41491

001609  
ROYLANCE, ABRAMS, BERRO & GOODMAN, L.L.P.  
1300 19TH STREET, N.W.  
SUITE 600  
WASHINGTON, DC 20036



Doc'd *CH* File *41491*  
Rec'd  
CONFIRMATION NO. 5481  
FORMALITIES LETTER  
\*OC000000006320028\*  
JUL 26 2001  
ROYLANCE, ABRAMS  
BERRO & GOODMAN, L.L.P.  
BY *[Signature]*

Date Mailed: 07/19/2001

*Completion  
Sept 19, 2001*

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

■ F r Rules Interpretation, call (703) 308-4216

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01 FC:105 130.00 OP

- To Purchase Patent Software, call (703) 306-2600
- For Patent Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice MUST be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY